



**NOVA**  
**IRON & STEEL LTD.**

CIN : L02710CT1989 PLC010052

F-Block, 1st Floor, International Trade Tower, Nehru Place,  
New Delhi-110019 INDIA Tel.: +91-11-30451000 Fax: +91-11-23712737  
Email : raj\_nisl2007@yahoo.com, www.novaironsteel.com

**ONLINE PORTAL**

Ref. No.: NISL/SE/2022-23

Dated: 28/05/2022

The Secretary  
Bombay Stock Exchange Limited  
Phiroz Jeejeebhoy Towers,  
25<sup>th</sup> Floor, Dalal Street,  
MUMBAI 400 001

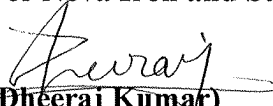
**Subject: Annual Secretarial Compliance Report as per Regulation 24(A) of SEBI (LODR) Regulations, 2015 for the year ending 31/03/2022**

Dear Sir,

Please find enclosed herewith Annual Secretarial Compliance Report in compliance with Regulation 24(A) of SEBI (LODR) Regulations, 2015 for the year ending 31/03/2022 issued by Practicing Company Secretary.

This is for your record please.

Thanking you  
Yours faithfully  
For Nova Iron and Steel Limited

  
(Dhbeeraj Kumar)  
Company Secretary

Encl: a/a



**K V BINDRA & ASSOCIATES**  
COMPANY SECRETARIES

To

NOVA IRON AND STEEL LIMITED  
VILLAGE-DAGORI TEHSIL -BELHA,  
BILASPUR CT 495224 IN

REF: SECRETARIAL COMPLIANCE REPORT of NOVA IRON AND STEEL LIMITED CIN: L02710CT1989PLC010052 having Registered Office at # VILLAGE-DAGORI TEHSIL -BELHA, BILASPUR CT 495224 IN for the year ended 31<sup>ST</sup> March, 2022.

I, Karan Vir Bindra, Practicing Company Secretary having our office at SCO 34, 2nd Floor, Sector 31-D, Chandigarh has examined:

- (a) All the documents and records made available to me and explanation provided by NOVA IRON AND STEEL LIMITED (hereinafter referred to as "the listed entity"),
- (b) The filings/ submissions made by the listed entity to the stock exchanges.
- (c) Website of the listed entity,
- (d) Any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended March 31<sup>st</sup>, 2022 ("Review Period") in respect of compliance with the provisions of :
  - (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
  - (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; - (There were no transactions during the period under review)



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(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011:

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018: - (There were no transactions during the period under review)

(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014: - (There were no transactions during the period under review)

(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008: - (There were no transactions during the period under review)

(g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations 2013: - (There were no transactions during the period under review)

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015:

(i) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Act and dealing with client.

And based on the above examination, we hereby report that, during the Review Period:



(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below:-

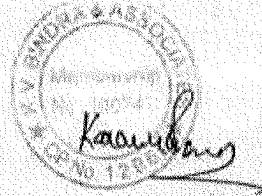
Sr. No.	Compliance Requirement (Regulations/ Circulars/ Guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 33, of SEBI (LODR) Regulations 2015	Delay in submission of financial results for the quarter ending 30/06/2021, 30/09/2021 and 31/12/2021	Since the Company has complied with Regulation 33 of SEBI (LODR) Regulation 2015 on 23/05/2022, therefore no observation or remarks

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from my examination of those records.



(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. Fines, warning letter, debarment, etc.	Observations, Remarks of the Practicing Company Secretary if any.
1.	Stock Exchange	Delay in submission of financial results for the period ending 30/06/2021, 30/09/2021 and 31/12/2021.	Trading of the Company suspended due to penal provision and securities of the promoters are frozen	Since the Company has complied with Regulation 33 of SEBI (LODR) Regulation 2015 on 23/05/2022 and filed application for revocation of suspension of trading on 26/05/2022, therefore no observation or remarks



(d) The listed entity has taken the following actions to comply with the observations made in previous reports

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended on 31.03.2022	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	Nil	No observation, since the company has submitted the financial results for the period ending 30/06/2021, 30/09/2021 and 31/12/2021 in compliance with Reg. 33 of SEBI (LODR) Regulation 2015 on 23/5/2022	Company has submitted the financial results for the period ending 30/06/2021, 30/09/2021 and 31/12/2021 in compliance with Reg. 33 of SEBI (LODR) Regulation 2015 on 23/5/2022 and filed application with BSE for revocation of suspension of trading on 26/05/2022	No Comments as the company has complied with Reg. 33 and filed the application for revocation of suspension of trading



For KV Bindra & Associates  
PRACTISING COMPANY SECRETARIES

KARAN VIR BINDRA  
Membership No: 10074  
COP: 12962  
UDIN No. F010074D000417461

PLACE: Chandigarh  
DATE: 28/05/2022